Bhaven N. Sampat

Johns Hopkins University Hopkins Bloomberg Center 555 Pennsylvania Avenue Washington, DC 20001 sampat@jhu.edu

Curriculum Vitae: June 2025

Academic Appointments

Johns Hopkins University

• School of Government and Policy (SOGP) and Carey Business School (CBS), 2025-Present

Arizona State University

- Faculty Affiliate, Consortium for Science, Policy, and Outcomes, 2023-Present
- Professor, School of Public Affairs and School for the Future of Innovation in Society, 2023-2025

Columbia University

- Professor, Department of Health Policy and Management (HPM), Mailman School of Public Health, 2021-2023
- Associate Professor, Department of Health Policy and Management (HPM), Mailman School of Public Health, 2012-2021.
- Assistant Professor, Department of Health Policy and Management (HPM), Mailman School of Public Health, 2005 - 2012

New York University

- Distinguished Scholar in Residence, Engelberg Center on Law and Innovation Policy, NYU School of Law, 2015-2016.
- Visiting Professor, Robert F. Wagner School of Public Service, 2015-2016.

University of Michigan

• Robert Wood Johnson Foundation Scholar in Health Policy Research, Department of Health Management and Policy, School of Public Health, 2003-2005.

Georgia Institute of Technology,

• Assistant Professor, School of Public Policy, 2001-2003.

Other Affiliations

Engelberg Center on Innovation Law and Policy, NYU Law School

• Fellow, 2024–present

Abdul Latif Jameel Poverty Action Lab (J-PAL) Science for Progress Initiative

• Affiliated Professor, 2022–present

National Bureau of Economic Research (NBER)

• Research Associate, Productivity, Innovation, and Entrepreneurship Program, 2013–present

Education

Graduate School of Arts and Sciences, Columbia University

Ph.D. Economics, with Distinction, 2001.

M.Phil. Economics, 2000.

M.A. Economics, 1998.

Columbia College, Columbia University

B.A. Economics-Political Science, summa cum laude, 1996.

Training

Post-Doctoral: Robert Wood Johnson Foundation Scholars in Health Policy Research Program, Department of Health Management and Policy, University of Michigan School of Public Health

Honors and awards

- Columbia Yusuf Hamied Faculty Fellowship, 2019
- Robert Wood Johnson Foundation Investigator Award In Health Policy Research, 2007

Grant Support

- 2023–2024: National Institute for Health Care Management Can Improving Pharmaceutical Patent Quality Promote Competition and Reduce Drug Prices? Role: PI.
- 2020–2024: National Science Foundation The Effects of the World War II Research Effort on Postwar U.S. Science, Technology, and Innovation. Role: co-PI. Subcontract from Duke University (PI: Daniel Gross).
- 2018–2023: European Research Council Serendipity in Research and Innovation. Role: Subaward PI. Subcontract from the University of Sussex (PI: Ohid Yaqub).
- 2019: Sloan Foundation Developing and Validating New Measures of Connections Between Science and Patented Technology. Role: PI.
- 2019: Yusuf Hamied Fellowship, Columbia Mailman Trade Related Intellectual Property Rights: Patents and Drug Prices in India. Role: PI.

- 2017–2019: National Science Foundation The Effects of Federal Indirect Cost Recovery on Academic Institutions. Role: co-PI (with Pierre Azoulay).
- 2017–2018: National Science Foundation The Effects of Patents on Innovation, Diffusion, and Access. Role: PI.
- 2013–2015: UK Economic and Social Research Council TRIPS Implementation and Secondary Pharmaceutical Patenting: An Empirical Analysis. Role: Subaward PI. Subcontract from LSE (PI: Kenneth Shadlen).
- 2014–2015: Marie Curie Action International Outgoing Fellowships Serendipity in Science, Technology and Innovation. Role: PI. Subcontract from the University of Sussex.
- 2011–2013: National Science Foundation Idea and Economic Spillovers from Publicly-funded Biomedical Research. Role: Subaward PI. Subcontract from NBER (PI: Pierre Azoulay).
- 2011–2012: Commonwealth Fund Analysis of National Datasets on Health Reform Issues. Role: PI.
- 2010–2012: Robert Wood Johnson Foundation Drug Patent Challenges and Public Health. Role: PI.
- 2009–2010: Commonwealth Fund Contributing to Health Care Reform: Analysis of National Data Sets. Role: PI.
- 2008–2010: Ford Foundation TRIPS and Development: The Political Economy of the Patent System. Role: PI.
- 2007–2010: Robert Wood Johnson Foundation Investigator Award: The Political Economy of the NIH. Role: PI.
- 2005–2007: Merck Foundation Columbia-Stanford Consortium on Medical Innovation. Role: PI.

Administrative Leadership and Academic Service

Academic Service

- Co-organizer "The International Conference on the Science of Science and Innovation" National Academies of Sciences, Engineering and Medicine, July 2024
 https://www.icssi.org/2024schedule
- Committee Member, Congressionally-Mandated NASEM Committee to Evaluate the NASA Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) Program
- Program committee member, The International Conference on the Science of Science and Innovation (ICSSI), 2023
 - https://www.icssi.org/archive2023/speakers2023
- Judging Panel, UK Office of Health Economics (OHE) 2022 Innovation Policy Prize https://www.ohe.org/ohe-prize/2022-question

- Steering Committee Member, and Co-Director of the Open Data Summer Fellows Program, NBER Innovation Information Initiative (I^3)
 - https://iii.pubpub.org/
- Committee Member, Congressionally-Mandated NASEM Committee to Evaluate Economic and Healthcare Benefits of the NIH Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) Program (2020–2021)

https://nap.nationalacademies.org/read/26376/chapter/1

Selected University Service

- Senator, Columbia University Senate. (2019–2021)
- Member, Columbia University Senate Committee on External Relations and Research Policy (2019–2021)
- Steering Committee, Columbia University Precision Medicine and Society Initiative (2020–2023)
- Research Director, Department of Health Policy and Management, Columbia University (2016–2021)

Editorial Boards

- Editorial Advisory Board, Milbank Quarterly
- Advisory Editor, Research Policy (2014–2021)

Publications

Peer reviewed research publications

- 1. Daniel P. Gross and Bhaven N. Sampat. The Government Patent Register: A New Resource for Measuring US Government-Funded Patenting. *Research Policy*, 54(1):105142, 2025
- 2. Lisa Larrimore Ouellette and Bhaven N. Sampat. Using Bayh-Dole Act March-In Rights to Lower US Drug Prices. *JAMA Health Forum*, 5(11):e243775
- 3. Daniel P. Gross and Bhaven N. Sampat. America, Jump-Started: World War II R&D and the Takeoff of the U.S. Innovation System. *American Economic Review*, 113(12):3323–3356, 2023
- 4. Daniel P. Gross and Bhaven N. Sampat. The World War II crisis innovation model: What was it, and where does it apply? *Research Policy*, 52(9):104845, 2023
- Maya Durvasula, C. Scott Hemphill, Lisa Larrimore Ouellette, Bhaven N. Sampat, and Heidi L
 Williams. The NBER Orange Book dataset: A user's guide. Research Policy, 52(7):104791,
 2023
- 6. Bhaven N. Sampat. The government and pharmaceutical innovation: Looking back and looking ahead. *Journal of Law, Medicine & Ethics*, 49(1):10–18, 2021

- 7. Bhaven N. Sampat and Kenneth C. Shadlen. The COVID-19 innovation system. *Health Affairs*, 40(3):400–409, 2021
- 8. Kevin A. Bryan, Yasin Ozcan, and Bhaven N. Sampat. In-text patent citations: A user's guide. Research Policy, 49(4):103946, May 2020
- 9. Kenneth C. Shadlen, Bhaven N. Sampat, and Amy Kapczynski. Patents, trade and medicines: Past, present and future. Review of International Political Economy, 27(1):75–97, January 2020
- Bhaven N. Sampat and Heidi L. Williams. How Do Patents Affect Follow-On Innovation? Evidence from the Human Genome. American Economic Review, 109(1):203–236, January 2019
- 11. Pierre Azoulay, Joshua S Graff Zivin, Danielle Li, and Bhaven N. Sampat. Public R&D Investments and Private-sector Patenting: Evidence from NIH Funding Rules. *The Review of Economic Studies*, 86(1):117–152, January 2019
- 12. Bhaven N. Sampat and Kenneth C. Shadlen. Indian pharmaceutical patent prosecution: The changing role of Section 3(d). *PLOS ONE*, 13(4):e0194714, April 2018
- 13. Bhaven N. Sampat and Kenneth C. Shadlen. Secondary pharmaceutical patenting: A global perspective. *Research Policy*, 46(3):693–707, April 2017
- 14. Danielle Li, Pierre Azoulay, and Bhaven N. Sampat. The applied value of public investments in biomedical research. *Science*, 356(6333):78–81, April 2017
- 15. Bhaven N. Sampat and Kenneth C. Shadlen. Drug patenting in India: looking back and looking forward. *Nature Reviews Drug Discovery*, 14(8):519–520, August 2015
- 16. Deepak Hegde and Bhaven N. Sampat. Can Private Money Buy Public Science? Disease Group Lobbying and Federal Funding for Biomedical Research. *Management Science*, 61(10):2281–2298, October 2015
- 17. Bhaven N. Sampat and Kenneth C. Shadlen. TRIPS Implementation and Secondary Pharmaceutical Patenting in Brazil and India. *Studies in Comparative International Development*, 50(2):228–257, June 2015
- 18. Bhaven N. Sampat and Harold Alan Pincus. Citations in Life Science Patents to Publicly Funded Research at Academic Medical Centers. *Clinical and Translational Science*, 8(6):759–763, December 2015
- 19. C. Scott Hemphill and Bhaven N. Sampat. Drug Patents at the Supreme Court. Science, 339(6126):1386–1387, March 2013
- 20. Bhaven N. Sampat, Kristin Buterbaugh, and Marcel Perl. New evidence on the allocation of NIH funds across diseases. *The Milbank Quarterly*, 91(1):163–185, 2013
- 21. Christopher A. Cotropia, Mark A. Lemley, and Bhaven N. Sampat. Do applicant patent citations matter? *Research Policy*, 42(4):844–854, May 2013

- 22. Bhaven N. Sampat and Tahir Amin. How Do Public Health Safeguards in Indian Patent Law Affect Pharmaceutical Patenting in Practice? *Journal of Health Politics, Policy and Law*, 38(4):735–755, January 2013
- 23. Arti K Rai and Bhaven N. Sampat. Accountability in patenting of federally funded research. Nature Biotechnology, 30(10):953–956, October 2012
- 24. Amy Kapczynski, Chan Park, and Bhaven N. Sampat. Polymorphs and Prodrugs and Salts (Oh My!): An Empirical Analysis of "Secondary" Pharmaceutical Patents. *PLOS ONE*, 7(12):e49470, December 2012
- 25. Bhaven N. Sampat. Mission-oriented biomedical research at the NIH. Research Policy, 41(10):1729–1741, December 2012
- 26. Bhaven N. Sampat, Ken Shadlen, and Tahir Amin. Challenges to India's Pharmaceutical Patent Laws. *Science*, 337(6093):414–415, July 2012
- 27. C. Scott Hemphill and Bhaven N. Sampat. Evergreening, patent challenges, and effective market life in pharmaceuticals. *Journal of Health Economics*, 31(2):327–339, March 2012
- 28. Mark A. Lemley and Bhaven N. Sampat. Examiner Characteristics and Patent Office Outcomes. Review of Economics and Statistics, 94(3):817–827, August 2012
- 29. Swathi Padmanabhan, Tahir Amin, Bhaven N. Sampat, Robert Cook-Deegan, and Subhashini Chandrasekharan. Intellectual property, technology transfer and manufacture of low-cost HPV vaccines in India. *Nature Biotechnology*, 30(2):193–193, February 2012
- 30. C. Scott Hemphill and Bhaven N. Sampat. When Do Generics Challenge Drug Patents?: When Do Generics Challenge Drug Patents? *Journal of Empirical Legal Studies*, 8(4):613–649, December 2011
- 31. Peter Muennig, Bhaven N. Sampat, Nicholas Tilipman, Lawrence D. Brown, and Sherry A. Glied. We All Want It, but We Don't Know What It Is: Toward a Standard of Affordability for Health Insurance Premiums. *Journal of Health Politics, Policy and Law*, 36(5):829–853, October 2011
- 32. Bhaven N. Sampat and Michael Drummond. Another special relationship? Interactions between health technology policies and health care systems in the United States and the United Kingdom. *Journal of Health Politics, Policy and Law*, 36(1):119–139, February 2011
- 33. Bhaven N. Sampat and Frank R. Lichtenberg. What are the respective roles of the public and private sectors in pharmaceutical innovation? *Health Affairs (Project Hope)*, 30(2):332–339, February 2011
- 34. Bhaven N. Sampat. When Do Applicants Search for Prior Art? The Journal of Law and Economics, 53(2):399–416, May 2010
- 35. Julia Carbone, E Richard Gold, Bhaven N. Sampat, Subhashini Chandrasekharan, Lori Knowles, Misha Angrist, and Robert Cook-Deegan. DNA patents and diagnostics: not a pretty picture. *Nature Biotechnology*, 28(8):784–791, August 2010

- 36. Deepak Hegde and Bhaven N. Sampat. Examiner citations, applicant citations, and the private value of patents. *Economics Letters*, 105(3):287–289, December 2009
- 37. Bhaven N. Sampat. Academic Patents and Access to Medicines in Developing Countries. American Journal of Public Health, 99(1):9–17, January 2009
- 38. Juan Alcácer, Michelle Gittelman, and Bhaven N. Sampat. Applicant and examiner citations in U.S. patents: An overview and analysis. *Research Policy*, 38(2):415–427, March 2009
- 39. Anthony D. So, Bhaven N. Sampat, Arti K Rai, Robert Cook-Deegan, Jerome H Reichman, Robert Weissman, and Amy Kapczynski. Is Bayh-Dole Good for Developing Countries? Lessons from the US Experience. *PLoS Biology*, 6(10):e262, October 2008
- 40. Mark J. Russo, Annetine C. Gelijns, Lynne W. Stevenson, Bhaven N. Sampat, Keith D. Aaronson, Dale G. Renlund, Deborah D. Ascheim, Kimberly N. Hong, Mehmet C. Oz, Alan J. Moskowitz, Eric A. Rose, and Leslie W. Miller. The Cost of Medical Management in Advanced Heart Failure During the Final Two Years of Life. *Journal of Cardiac Failure*, 14(8):651–658, October 2008
- 41. Pierre Azoulay, Ryan Michigan, and Bhaven N. Sampat. The Anatomy of Medical School Patenting. New England Journal of Medicine, 357(20):2049–2056, November 2007
- 42. Bhaven N. Sampat. Patenting and US academic research in the 20th century: The world before and after Bayh-Dole. Research Policy, 35(6):772–789, July 2006
- 43. David C. Mowery and Bhaven N. Sampat. The Bayh-Dole Act of 1980 and University—Industry Technology Transfer: A Model for Other OECD Governments? *The Journal of Technology Transfer*, 30(1):115–127, December 2004
- 44. R. R Nelson, A. Peterhansl, and Bhaven N. Sampat. Why and how innovations get adopted: A tale of four models. *Industrial and Corporate Change*, 13(5):679–699, October 2004
- 45. Bhaven N. Sampat, David C. Mowery, and Arvids A. Ziedonis. Changes in university patent quality after the Bayh–Dole act: A re-examination. *International Journal of Industrial Organization*, 21(9):1371–1390, November 2003
- 46. David C. Mowery, Bhaven N. Sampat, and Arvids A. Ziedonis. Learning to Patent: Institutional Experience, Learning, and the Characteristics of U.S. University Patents After the Bayh-Dole Act, 1981-1992. *Management Science*, 48(1):73–89, January 2002
- 47. Jeannette Colyvas, Michael Crow, Annetine Gelijns, Roberto Mazzoleni, Richard R. Nelson, Nathan Rosenberg, and Bhaven N. Sampat. How Do University Inventions Get Into Practice? *Management Science*, 48(1):61–72, January 2002
- 48. David C. Mowery and Bhaven N. Sampat. University Patents and Patent Policy Debates in the USA, 1925-1980. *Industrial and Corporate Change*, 10(3):781–814, August 2001
- 49. David C Mowery, Richard R Nelson, Bhaven N. Sampat, and Arvids A Ziedonis. The growth of patenting and licensing by U.S. universities: An assessment of the effects of the Bayh–Dole act of 1980. Research Policy, 30(1):99–119, January 2001

- 50. Richard R. Nelson and Bhaven N. Sampat. Making sense of institutions as a factor shaping economic performance. *Journal of Economic Behavior & Organization*, 44(1):31–54, January 2001
- 51. David C. Mowery and Bhaven N. Sampat. Patenting and Licensing University Inventions: Lessons from the History of the Research Corporation. *Industrial and Corporate Change*, 10(2):317–355, June 2001

Book

1. David C. Mowery, Richard R. Nelson, Bhaven N. Sampat, and Arvids A. Ziedonis. *Ivory Tower and Industrial Innovation: University-Industry Technology Transfer Before and After the Bayh-Dole Act.* Stanford University Press, 2004

Book chapters, law reviews, editorials, and other publications

- Pierre Azoulay, Daniel P Gross, and Bhaven N Sampat. Indirect cost recovery in us innovation policy: History, evidence, and avenues for reform. Forthcoming, NBER Entrepreneurship and Innovation Policy and the Economy, 2025
- 2. C. Scott Hemphill and Bhaven N. Sampat. Patents, Innovation, and Competition in Pharmaceuticals: The Hatch-Waxman Act After 40 Years. *Journal of Economic Perspectives*, 39(2):1–26, 2025
- 3. Daniel P. Gross and Bhaven N. Sampat. New Data, Old Debates: US Government-Funded R&D and Patent Policy, February 2025. Centre for Economic Policy Research, VoxEU column
- 4. Bhaven N. Sampat. Doubling down: Will large increases in the NIH budget promote more meaningful medical innovation? *Journal of Law, Medicine & Ethics*, 51(S2):21–23, 2023
- 5. Bhaven N. Sampat. The History and Political Economy of NIH Peer Review. *Brookings/IFP Building a Better NIH Series*, 2023
- 6. C. Scott Hemphill and Bhaven N. Sampat. Fixing the FDA's orange book. *Health Affairs*, pages 10–1377, 2022
- 7. Daniel P. Gross and Bhaven N. Sampat. Crisis innovation: Historical evidence, insights, and open questions. *NBER Reporter*, (3):12–15, 2022
- 8. Lisa Larrimore Ouellette, Michael Abramowicz, Daniel Hemel, and Bhaven N. Sampat. What role should governments play in setting rewards for medical innovation? NYU Journal of Intellectual Property & Entertainment Law, 11, 2021
- 9. Daniel P. Gross and Bhaven N. Sampat. Crisis Innovation Policy from World War II to COVID-19. NBER Entrepreneurship and Innovation Policy and the Economy, 2021
- 10. Robert Cook-Deegan and Bhaven N. Sampat. An ARPA for Health Research? *Milbank Quarterly (Opinion)*, 2021
- 11. Daniel Gross and Bhaven N. Sampat. The Economics of Crisis Innovation Policy: A Historical Perspective. American Economic Review Papers and Proceedings, 2021

- 12. Bhaven N. Sampat. Whose Drugs Are These? Issues in Science and Technology, 2020
- 13. Bhaven N. Sampat. Comment on "Leveraging public research for innovation and growth a conceptual framework and common metrics". In Suma Athreye Anthony Arundel and Sacha Wunch-Vincent, editors, Harnessing Public Research for Innovation in the 21st Century: An International Assessment of Knowledge Transfer Policies. Cambridge University Press, 2020
- 14. Bhaven N. Sampat. Comment on "Local effects of land grant colleges on agricultural innovation and output". In *Economics of Research and Innovation in Agriculture*. University of Chicago Press, 2020
- 15. Amy Kapczynski, Bhaven N. Sampat, and Kenneth C Shadlen. The TPP and drug prices. Foreign Affairs, 2016
- 16. Arti Rai and Bhaven N. Sampat. Accountability, Government Rights, and the Public Interest: A Thirty-Year Retrospective. In Donald Siegel Albert Link and Mike Wright, editors, The Chicago Handbook of University Technology Transfer and Academic Entrepreneurship. University of Chicago Press, 2014
- 17. Arti K. Rai, John R. Allison, and Bhaven N. Sampat. University Software Ownership and Litigation. In Michael Abramowicz, James E. Daily, and F. Scott Kieff, editors, *Perspectives on Patentable Subject Matter*, pages 336–375. Cambridge University Press, New York, 2014
- 18. Bhaven N. Sampat. Review of "prevention vs. treatment: What's the right balance?". Global Public Health, 8(2):236–239, February 2013
- 19. Pierre Azoulay, Joshua S. Graff Zivin, and Bhaven N. Sampat. The Diffusion of Scientific Knowledge across Time and Space: Evidence from Professional Transitions for the Superstars of Medicine. In Josh Lerner and Scott Stern, editors, *The Rate and Direction of Inventive Activity Revisited*. University of Chicago Press, 2012
- 20. Bhaven N. Sampat. Lessons from Bayh–Dole. Nature, 468(7325):755–756, December 2010
- 21. Bhaven N. Sampat. Research Locally, Diffuse Globally? American Universities, Patents and Global Public Health. *Columbia Journal of International Affairs*, November 2010
- 22. Bhaven N. Sampat. Catching Up In Indian Pharmaceuticals and Software: The Roles That Patents Did and Did Not Play. In H. Odagiri, A. Goto, A. Sunami, and R.R. Nelson, editors, Intellectual Property Rights, Development, and Catch-Up. Oxford University Press, April 2010
- 23. Bhaven N. Sampat. The Dismal Science, the Crown Jewel and the Endless Frontier. In D. Foray, editor, *The New Economics of Technology Policy*. Edward Elgar Publishing, 2009
- 24. Arti Rai, John Allison, Bhaven N. Sampat, and Colin Crossman. University Software Ownership and Litigation: A First Examination. *North Carolina Law Review*, 87:1519–1570, January 2009
- Mark A. Lemley and Bhaven N. Sampat. Is the Patent Office a Rubber Stamp? Emory Law Journal, 58:181, 2008

- 26. Bhaven N. Sampat. University Patenting: Shaping a New Government Patent Policy. In D. Guston and D. Sarewitz, editors, Shaping Science and Technology Policy: The Next Generation of Research. University of Wisconsin Press, Madison, 2007
- 27. Bhaven N. Sampat, David Mowery, and Arvids Ziedonis. Changes in University Patent Quality After Bayh–Dole: A Re–Examination. In Donald S. Siegel, editor, Technological Entrepreneurship: The International Library of Entrepreneurship Series. Edward Elgar, Northampon, 2007
- David C. Mowery and Bhaven N. Sampat. The Bayh-Dole Act of 1980 and University-Industry Technology Transfer. In Dominique Foray and Brian Kahin, editors, Advancing Knowledge and The Knowledge Economy. MIT Press, Cambridge, 2006
- 29. David C. Mowery and Bhaven N. Sampat. Universities in National Innovation Systems. In Jan Fagerberg and David C. Mowery, editors, *The Oxford Handbook of Innovation*. Oxford University Press, 2006. 10.1093/oxfordhb/9780199286805.003.0008
- 30. Mark Lemley, Doug Lichtman, and Bhaven N. Sampat. What to do about bad patents? Regulation, 2005
- 31. Bhaven N. Sampat and Arvids A. Ziedonis. Patent Citations and the Economic Value of Patents. In Henk F. Moed, Wolfgang Glänzel, and Ulrich Schmoch, editors, *Handbook of Quantitative Science and Technology Research: The Use of Publication and Patent Statistics in Studies of S&T Systems*, pages 277–298. Springer Netherlands, Dordrecht, 2005
- 32. Richard Nelson and Bhaven N. Sampat. Making Sense of Institutions as a Factor Shaping Economic Performance. In Geoffrey Hodgson, editor, *Recent Developments in Institutional Economics*, Critical Studies in Economic Institutions. Edward Elgar, 2003
- 33. Bhaven N. Sampat and Richard R. Nelson. The evolution of university patenting and licensing procedures: An empirical study of institutional change. In Paul Ingram and Brian S. Silverman, editors, *The New Institutionalism in Strategic Management*, volume 19 of *Advances in Strategic Management*, pages 135–164. Emerald Group Publishing Limited, January 2000
- 34. David Mowery, Richard Nelson, Bhaven N. Sampat, and Arvids Ziedonis. The effects of the Bayh-Dole Act on US university research and technology transfer. In Fumio Kodama Lewis Branscomb and Richard Florida, editors, *Industrializing Knowledge*. MIT Press, 1999

Other: Policy Reports and Government Submissions

- 1. Maya M. Durvasula, Lisa Larrimore Ouellette, and Bhaven N. Sampat. Response to Request for Information on Draft NIH Intramural Research Program Policy: Promoting Equity Through Access Planning, July 2024. Submitted July 22, 2024
- Lisa Larrimore Ouellette and Bhaven N. Sampat. Response to Request for Information Regarding the Draft Interagency Guidance Framework for Considering the Exercise of March-In Rights, February 2024. Submitted February 6, 2024
- 3. Bhaven N. Sampat. *Global Innovation Report*, chapter The Economics of Health Innovation: Looking Back and Looking Forward. World Intellectual Property Organization, 2019

- 4. Bhaven N. Sampat. Science policy research report: The effects of patents on innovation (reprinted as NBER working paper 2018). Technical report, National Science Foundation, 2018
- Bhaven N. Sampat. Intellectual property rights and pharmaceuticals: The case of antibiotics. Technical report, World Intellectual Property Organization Economic Research Working Paper 26, 2015
- 6. Bhaven N. Sampat. The impact of publicly funded biomedical and health research: A review. Technical report, Appendix D of "Measuring the Impact of Federal Investments in Research: A Workshop Summary" (National Academies Press), 2011
- 7. Bhaven N. Sampat. The Bayh-Dole model in developing countries: Reflections on the Indian bill on publicly funded intellectual property. Technical report, UNCTAD-ICTSD Project on Intellectual Property Rights and Sustainable Development, 2009

Invited Talks and Presentations (2015–Present)

1. Invited speaker.

Strategy, Economics, and Public Policy seminar.

Georgetown McDonough School of Business

October 2025

2. Invited presenter.

Science of Science Funding "Taking Stock" Conference

National Bureau of Economic Research

September 2025

3. Keynote speaker.

European Policy for Intellectual Property Annual Conference

University of Antwerp

September 2025

4. Invited panelist.

Discussion on The Structure of Public Funding for Academic Science

NBER Summer Institute

National Bureau of Economic Research

July 2025

5. Invited presenter.

"The Therapeutic Consequences of the War"

NBER Summer Institute

National Bureau of Economic Research

July 2025

6. Invited panelist. "The Impact of Research Security Policies and Requirements on the Research Ecosystem"

Committee on Assessing Research Security Efforts in Higher Education

National Academies of Sciences, Engineering, and Medicine

May 2025

7. Invited speaker.

Entrepreneurship and Innovation Policy and the Economy Conference

National Bureau of Economic Research

April 2025

8. Invited speaker.

Government Challenges to U.S. Patent Rights Conference

Banbury Center, Cold Spring Harbor Laboratory

March 2025

9. Invited presenter. "Science Policy, War, and Peace"

Science Policy and Diplomacy Distinguished Speaker Series

University of Arizona

October 2024

10. Invited panelist.

American University Law Review Federal Circuit Symposium

Washington College of Law - American University

October 2024

11. Invited presenter. "Hatch-Waxman at 40"

Law and Economics Seminar

University of Pennsylvania Law School

October 2024

12. Invited presenter.

Conference on Patent Anti-Evergreening: Policies and Experiences from an International Perspective

Washington College of Law - American University

September 2024

13. Invited presenter. "U.S. Science and Technology Policy: Solving for What and for Whom" Science and Technology Policy Fellows Training Session

American Association for the Advancement of Science (AAAS)

September 2024

14. Invited presenter. "Hatch-Waxman at 40"

Health Care at Reasonable Cost: The Hatch-Waxman Act at 40 and Beyond

NYU Law School

September 2024

15. Invited presenter. "Hatch-Waxman at 40"

Brookings Institution

September 2024

16. Invited discussant. "Institutional Concentration of Elite Researchers"

Science of Science Funding

NBER Summer Institute

July 2024

17. Invited presenter. "The Feasibility of Using Bayh-Dole March-In Rights to Lower U.S. Drug Prices"

Life Sciences Symposium

Bates White Economic Consulting

May 2024

18. Invited presenter. "The Therapeutic Consequences of the War: World War II Medical Research and the Mid-Century Expansion of Biomedicine"

Shidler College of Business Speaker Series

University of Hawaii at Manoa

March 2024

19. Invited presenter. "Evaluating Benefits from Publicly Funded Research Using Patent-Data: Applications, Benefits, & Misuse"

American Evaluation Association

March 2024

20. Invited presenter. "U.S. Science and Technology Policy: World War II to Covid-19" Science and Technology Policy Fellows Training Session

American Association for the Advancement of Science (AAAS)

February 2024

21. Invited panelist. "How Can Taxpayer-Funded Science Yield Drugs That Are Innovative and Affordable?"

Issues in Science and Technology

January 2024

22. Invited presenter. "Data and Metrics for the DOD SBIR and STTR Programs"

National Academies of Sciences, Engineering, and Medicine (NASEM)

December 2023

23. Invited presenter. "The Government Patent Register: A New Lens on Historical U.S. Government-Funded Patenting"

NBER Innovation Information Initiative

NBER

December 2023

24. Invited panelist. "US Patent System: What is Needed – Tweaks or Reform?" Committee on Science, Technology, and Law

National Academies of Sciences, Engineering, and Medicine (NASEM)

November 2023

25. Invited speaker. "Evaluating Benefits from Publicly Funded Research Using Patent-Data: Applications, Benefits, & Misuse"

New Tools for Science Policy

Consortium for Science, Policy & Outcomes (CSPO)

October 2023

26. Invited presenter. "The Government Patent Register: A New Lens on Historical U.S. Government-Funded Patenting"

11th Annual Mid-Atlantic Patent Works-in-Progress (MAPWIP) Colloquium

Georgetown Law School

October 2023

27. Invited moderator.

Workshop on Accelerating Research Translation for Equity and Inclusion

National Science Foundation,

September 2023

28. Invited presenter. "A Novel Experiment: The Long-Run Effects of the World War II Medical Research Effort on Science, Technology, and Practice"

Technology and Operations Management Seminar

Harvard Business School

April 2023

29. Invited presenter. "America, Jump-started: World War II R&D and the Takeoff of the U.S. Innovation System"

Entrepreneurship and Innovation Seminar

Haas School of Business, U.C. Berkeley

March 2023

30. Invited presenter. "A Novel Experiment: The Long-Run Effects of the World War II Medical Research Effort on Science, Technology, and Practice"

Applied Micro Seminar

University of Massachusetts

October 2022

31. Invited presenter. "The History and Political Economy of NIH Reform"

Brookings Institution

October 2022

32. Invited panelist. "Innovation and Growth" panel.

International Conference on the Science of Science and Innovation June 2022

33. Keynote address, "Crisis Innovation Policy from World War II to Covid-19" 2022 OECD Conference on the Analysis of Support for Business Innovation

OECD

May 2022

34. Inaugural address. "What is a strong patent system, anyways?" Innovation for Shared Prosperity: Celebrating 110 Years of India's Tryst with Patents'

Jindal Global University, Initiative on Research on IP and Competition, March 2022.

35. Invited presenter. "Crisis medical innovation during World War II"

ASSA Annual Meeting

January 2022.

36. Invited discussant. North American Winter Meeting of the Econometric Society

ASSA Annual Meeting

January 2022.

37. Invited presenter Intellectual Property and Access to Medicines Course Harvard Law School

September 2021.

38. Invited discussant. Law and STEM Junior Faculty Forum

Penn Law

October 2021.

 Invited presenter. "Advances in Patent Research" Professional Development Workshop Academy of Management Annual Meetings August 2021

40. Invited discussant. Intellectual Property Day

Boston University School of Law July 2021.

41. Panelist. "Ways to Fund Scientists"

NBER Summer Institute, Science of Science Funding July 2021

42. Panelist. "COVID Vaccines: Policies to end this pandemic and avoid another one"
Richman Center, Columbia University
June 2021

43. Keynote speaker. "Crisis Innovation Policy." Taiwan Symposium on Innovation Economics and Entrepreneurship

National Taiwan University.

June 2021.

44. Invited presenter. "Crisis Innovation from World War II to Warp Speed" Entrepreneurship and Innovation Policy and the Economy Conference

National Bureau of Economic Research April 2021.

- 45. Invited panelist. Access to Medicine: The Role and Impact of U.S. Innovation Policy NYU Journal of Intellectual Property & Entertainment Law's 2021 Symposium July 2021.
- 46. Invited speaker. "Crisis Innovation from World War II to Warp Speed" Science, Technology, and Innovation Policy Seminar

University of Manchester (U.K.)

February 2021.

47. Presenter. "The Economics of Crisis Innovation"

Allied Social Sciences Association (ASSA) Annual Meeting January 2021.

- 48. Invited discussant. "The Rise of American Corporate Science"
 Allied Social Science Association (ASSA) Annual Meeting
 January 2021.
- 49. Presenter. Global Virtual Symposium on Trade and Investment Treaties and Access to Medicines in a COVID-19 Era

Boston University Global Development Policy Center December 2020.

50. Invited presenter. "Inventing the Endless Frontier: The Effects of the World War II Research Effort on Postwar Innovation"

Association for Public Policy Analysis and Management (APPAM) Annual Conference

November 2020.

51. Invited presenter. "The Race for the Covid Vaccine: New Challenges for Innovation Policy" Columbia/SIPA Seminar: Covid-19: Policymaking in the Throes of a Global Crisis Columbia University

November 2020.

- 52. Invited presenter. "Linking drugs to government funding" Knowledge Ecology International (KEI) Panel on Government Funding of COVID Drugs and Vaccines

 Congress on Intellectual Property and the Public Interest

 November 2020.
- 53. Invited presenter. "How Covid-19 is Changing the Biomedical Innovation System" *Implications of the Pandemic for Science and Innovation Policy: Insight from Economists*National Academies of Sciences, Engineering, and Medicine (NASEM) Board on Science, Technology, and Economic Policy (STEP)

 October 2020.
- 54. Invited presenter. "Should We Patent a COVID-19 Vaccine?" Consortium for Science, Technology, and Outcomes (CSPO) September 2020.
- 55. Invited discussant. Intellectual Property Day Boston University School of Law July 2020.
- Invited panelist. Innovation Policy for a Pandemic Panel, Summer Institute 2020.
 National Bureau of Economic Research
 July 2020.
- 57. Invited panelist. Vagts Roundtable: Conceptualizing Intellectual Property as a Social Determinant of Health.

American Society of International Law Annual Meeting June 2020.

58. Moderator. Panel on "International Ethical, Regulatory and Economic Issues in Precision Medicine" Precision Medicine and Society Conference

Columbia University

May 2020.

59. Presenter. "TRIPS, Patents, and Drug Prices in India" Tenth Annual Tri-State Region Intellectual Property Workshop

NYU Law School.

January 2020.

Invited speaker. "TRIPS, Patents, and Drug Prices in India"
 Columbia Global Center (Mumbai, India)
 November 2019.

61. Invited speaker. "TRIPS, Patents, and Drug Prices in India" Center for Development Studies (Trivandrum, India) November 2019.

62. Invited speaker. "TRIPS, Patents, and Drug Prices in India" Indian Institute of Management (Ahmedabad, India) November 2019.

63. Invited presenter. Empirical Patent Law Conference Georgetown Law School

October 2019.

64. Invited speaker. "TRIPS, Patents, and Drug Prices in India" Innovation, Justice, and Globalization: A Celebration of J.H. Reichman

Harvard Law School.

September 2019.

65. Invited presenter. "The Roles of the Government in Pharmaceutical Innovation" Workshop on Role of NIH in Drug Development Innovation and its Impact on Patient Access National Academies of Sciences, Engineering, and Medicine July 2019.

66. Invited discussant. "Science Quality and the Value of Inventions" and "Standing on the Shoulders of Giants" Summer Institute 2019 "Science of Science Funding" Session.
National Bureau of Economic Research
July 2019.

67. Invited discussant. "Local Effects of Land Grant Colleges on Agricultural Innovation and Output" Conference on Agricultural Science, Innovation, and R&D National Bureau of Economic Research

May 2019.

 Invited speaker. The Complex World of Drug Pricing Columbia Business School April 2019.

69. Invited speaker. Radcliffe Seminar: The U.S. Government's Contribution to Transformative Drug Development.

Harvard University

December 2018.

70. Presenter. "Federal Indirect Cost Recovery Policies and Academic Research"

Association for Public Policy Analysis and Management (APPAM) Fall Research Conference

November 2018.

71. Invited speaker. 'Measuring the Economic Impact of Science" IP, Science, and Technology Workshop

University of Texas at Austin

October 2018.

72. Invited speaker. "Beyond Patents: Assessing the Value and Impact of Research Investments" Government-University-Industry Research Roundtable

The National Academies of Sciences, Engineering, and Medicine October 2018.

73. Invited speaker. The Cost of a Cure: Creating Sustainable Solutions for Gene and Cell Therapies

Leonard Davis Institute of Health Economics, University of Pennsylvania September 2018.

74. Invited presenter. "Using Patent Citations to Assess Science-Innovation Linkages and the Value of Medical Research" NIA-ESRC International Network on the Value of Health Research.

National Bureau of Economic Research

September 2018.

75. Invited speaker. Research on Research Workshop

The Wellcome Trust (London, UK)

April 2018.

76. Invited presenter. "Measuring the Links Between Science and Innovation: Advances from Economics"

American Association for the Advancement of Science Annual Meetings (Austin, TX)

February 2018.

77. Invited speaker. NIH Health Economics Research meeting.

National Institutes of Health

January 2018.

78. Invited presenter. "The Effects of Patents on Innovation" Workshop on Results from NSF Science Policy Research Reports

National Academic of Sciences, Engineering, and Medicine January 2018.

79. Invited speaker. "Pharmaceutical Patent Citations and Real Value" Leonard Davis Institute of Health Economics, University of Pennsylvania November 2017.

80. Invited speaker. NIH-NSF Workshop on the Value of Data Sharing National Institutes of Health October 2017.

81. Invited panelist. "Public Health as a Public Good?" Mailman School Grand Rounds Columbia University
September 2017.

82. Invited presenter. "Secondary Pharmaceutical Patenting: A Global Perspective" Competition Issues in Pharmaceuticals: The Challenges Ahead

Centre for Competition Policy, University of East Anglia School of Economics (Norwich, UK)

June 2017.

83. Invited discussant. "Personalized Medicine and Patient Selection: Discretion vs. Guidelines"

10th annual Economics of Entrepreneurship and Innovation Conference

Queen's School of Business (Kingston, ON)

June 2017.

84. Invited speaker. "Private Investment vs. Public Funding in Therapeutic Development" *Health Policy and Bioethics Consortium*

Dana-Farber/Harvard Cancer Center May 2017.

85. Invited speaker. "The Government and Pharmaceutical Innovation" Technology and Operations Management Seminar

Harvard Business School

May 2017.

86. Invited discussant. World Intellectual Property Organization (WIPO) Workshop on "International Comparison of Knowledge Transfer Policies"

 ${\bf Maastricht,\ the\ Netherlands}$

March 2017.

87. Invited presenter. Webinar: Pharmaceutical Drug Pricing: Where Should We Go From Here?

Columbia University Bioethics

January 2017.

88. Invited discussant. "Does Winning a Patent Race lead to more follow-on Innovation?" Roundtable on Engineering Entrepreneurship Research

Georgia Institute of Technology

November 2016.

89. Invited lecturer. "Secondary Pharmaceutical Patenting in the U.S." and "The Globalization of Pharmaceutical Patenting" Lecture Series on the Law Economics of Innovation

ETH Zurich

October 2016.

90. Presenter. 'The links between publicly funded medical research and innovation" Roundtable on Empirical Methods in Intellectual Property

U.S. Patent and Trademark Office

April 2016.

91. Invited presenter. NIH and the Science of Science Policy

National Institutes of Health

April 2016.

92. Invited panelist. Workshop on the Politics of Science and Innovation Policy

The Brookings Institution

March 2016.

93. Invited speaker. "The Effects of Restrictions on Secondary Pharmaceutical Patents: Brazil and India in Comparative Perspective" *The Economics of Science and Engineering Workshop*Harvard University, Department of Economics
February 2016.

94. Invited presenter. "How do Patents Affect Follow-on Innovation? Evidence from the Human Genome"

NYU Wagner

February 2016.

95. Invited presenter. "Linking Public Sector R&D to Health Outcomes" NIA-ESRC International Network on the Value of Health Research.

Institute for Fiscal Studies (London, UK)

December 2015.

96. Invited presenter. "The Effects of Restrictions on Secondary Pharmaceutical Patents" IP Statistics for Decisionmakers

European Patent Office/Organization of Economic Cooperation and Development November 2015.

97. Invited speaker. Seminar on Drug Pricing

Knowledge Ecology International

November 2015.

98. Invited presenter. Workshop on "Rethinking the Economics of Pharmaceutical Innovation"

Open Society Foundation

October 2015.

99. Invited presenter. "The Effects of Restrictions on Secondary Pharmaceutical Patents: Brazil and India in Comparative Perspective" *Empirical Patent Law Conference*

University of Illinois School of Law

October 2015.

100. Moderator. Pharmaceuticals Panel, Antitrust in Emerging and Developing Economies: Africa, Brazil, China, India, Mexico

NYU Law School

October 2015.

- 101. Invited discussant. "Innovation Under Regulatory Uncertainty: Evidence from Medical Technology" 8th Annual Conference on the Economics of Entrepreneurship and Innovation Queen's School of Business (Kingston, ON)

 June 2015.
- 102. Invited presenter. "TRIPS Implementation and Secondary Pharmaceutical Patenting" Institute of Applied Economic Research (Rio de Janeiro, Brazil) May 2015.
- 103. Invited presenter. "TRIPS Implementation and Secondary Pharmaceutical Patenting" Universidade Federal do Rio de Janeiro May 2015.
- 104. Invited presenter. "How do Patents Affect Follow-on Innovation? Evidence from the Human Genome" Creativity and Innovation Research Initiative

NYU Stern

April 2015.

- 105. Invited presenter. "Breakthrough Historical Innovation: The Case of Antibiotics"

 World Intellectual Property Organization Workshop on the World Intellectual Property
 Report 2015
 February 2015.
- 106. Invited speaker. Intellectual Property Teaching Workshop National Law School (Delhi, India) February 2015.
- 107. Invited speaker. "How Do Patents Affect Follow-On Innovation? Evidence From the Human Genome" Chief Economist Speaker Series

U.S. Patent and Trademark Office

February 2015.

Conferences and panels organized

- 1. "The International Conference on the Science of Science and Innovation" National Academies of Sciences, Engineering and Medicine, July 2024
- 2. "Workshop on empirical research on Pharmaceutical IP" (Columbia University, HEC Lausanne, HEC Paris) February 2021.
- 3. "The Past and Future of Innovation Policy: The Bush Report at 75 Years" Super-session panel at Association for Public Policy Analysis and Management (APPAM) Annual Conference, November 2020
- 4. "Secondary Pharmaceutical Patents" conference, NYU Law School, February 2016

5. "TRIPS@10" conference on the impact of the Trade Related Intellectual Property Rights Agreement, Columbia Earth Institute, November 2005

Teaching

- War, Crisis, and Innovation ASU, Undergraduate and Masters, Spring 2025
- Economics of Science and Technology Policy ASU, PhD, Fall 2024
- Economics of Biomedical Innovation Columbia, MPH/MHA, Spring 2023; Fall 2021; Spring 2021; Fall 2019; Spring 2019
- Innovation in Health Policy Columbia, MPH, Spring 2020
- Economics of Precision Medicine Columbia, MPH/MHA, Spring 2020
- Vaccine Innovation and Public Policy Columbia, MPH/MHA, Fall 2020
- Evidence, Politics, Health Policy (with Ronald Bayer) Columbia, MPH, Spring 2018
- Economics of Innovation ASU, PhD, Spring 2018
- Economics of Medical Technology Columbia, MPH/MHA, Fall 2017; Fall 2016; Spring 2015; Fall 2013
- Program Evaluation Columbia, MPH, Spring 2017; Spring 2008; Spring 2007
- Integration of Science and Practice Columbia, MPH, Fall 2016; Spring 2014; Fall 2013;
 Spring 2013; Fall 2012
- Research Methods 1 Columbia, MPH, Spring 2015; Spring 2014; Spring 2013
- Health and Development Columbia, MPH/MPP, Spring 2012; Spring 2011; Spring 2010
- Economic Evaluation of Health Technologies Columbia, MPH/MHA, Spring 2012; Spring 2008
- Analytic Methods for Health Service Management Columbia, MHA, Spring 2009
- Economics and Ethics of New Technologies Rockefeller University, PhD, Fall 2009
- Science, Technology and Sustainable Development Columbia, Sustainable Development PhD Seminar, Spring 2008
- U.S. Science and Technology Policy Columbia, MPA, Spring 2007; Spring 2006